

**APPLICATION FOR GUBERNATORIAL APPOINTMENT
TO THE
ELECTRIC INDUSTRY RESTRUCTURING
OVERSIGHT BOARD**

**Prepared by the
California Energy Commission
and the
California Public Utilities Commission**

November 15, 1996

**APPLICATION FOR GUBERNATORIAL APPOINTMENT
TO THE OVERSIGHT BOARD**

This application is for persons wishing to be considered for one of the Governor's three appointments to the Oversight Board (Board) created by Chapter 854, Public Utilities Code section 336, Statutes of 1996. Chapter 854 lays the groundwork for a restructured electricity market in California to allow for competition for electrical generation. This Board will oversee the Independent System Operator (ISO) and the Power Exchange (PX) operating boards.

Please complete and return the attached application form if you desire to be considered. The application form must be completed in full and be filed with both the California Energy Commission (CEC) and the California Public Utilities Commission (CPUC) by **December 4, 1996** at:

Office of Sally Rakow
Vice Chair
California Energy Commission
1516 Ninth Street MS 32
Sacramento, California
95814

AND

Office of P. Gregory Conlon
Commission President
California Public Utilities Commission
505 Van Ness Avenue
San Francisco, California
94102

Information requested in the application should be typed. Additional information may be attached as necessary. The attachments should be numbered according to the question asked. You may augment the application with your resume. **The application process will be kept strictly confidential.** Persons wanting additional information about the application should contact the California Energy Commission's Chairman, Charles R. Imbrecht at (916) 654-5000 or Vice Chair Sally Rakow at (916) 654-3992 or the Public Utilities Commission's President, P. Gregory Conlon Office at (415) 703-1175.

OVERSIGHT BOARD

APPLICATION FORM

Board members shall:

- (1) oversee the ISO and the PX operating boards;
- (2) determine the composition and terms of service and to appoint the members of the governing boards of the ISO and PX;
- (3) serve as an appeal board for majority decisions of the ISO governing board; and
- (4) take the necessary steps to ensure the earliest possible incorporation of the ISO and the PX as separately incorporated public benefit, nonprofit corporations under the Corporations Code.

The Board will consist of five members: Three of the members must be California residents and electricity ratepayers, appointed by the Governor from a list jointly provided by the California Energy Commission and California Public Utilities Commission, and subject to confirmation by the Senate. The Speaker of the Assembly will appoint one member of the Assembly to the Board. The Senate Committee on Rules will appoint one member of the Senate to the Board. The Legislative members shall be non-voting members; however, they are otherwise full members of the Board with all rights and privileges.

Members of the Board shall serve three-year terms with no limit on reappointment. The Governor's initial appointments shall be for one member to a one-year term, one to a two-year term, and one to a three-year term. The Board members will receive necessary expenses.

Qualified candidates should have a background which enables a Board member to assess the qualifications of potential ISO and PX governing boards. As to the Board functioning as an appeal board for the majority decisions of the ISO, the candidate should have some familiarity with the decision making process in corporations, the legislature and regulatory forums. While knowledge of California's electricity system would be desirable, it is not necessarily an essential qualification.

Members of the Board will be subject to the reporting and disqualification requirements of the California Fair Political Practices Act. Therefore, careful consideration will be made to focus on the extent to which any candidate would be ineffective due to the need to self-disqualify from voting on decisions that could foreseeably have a material financial effect on an investment or source of income as defined by the Government Code. Accordingly, this application requires candidates to indicate employment, ownership, and investments that are relevant to the electricity industry.

OVERSIGHT BOARD

APPLICATION FORM

1. Mr. _____
Mrs. _____
Ms. _____
2. Driver License #: _____ 3. Date of Birth: _____
4. Social Security #: _____
5. Sex (Optional): M _____ F _____ 6. Name of Spouse: _____
7. Are you a registered voter? Yes _____ No _____
County: _____ Party Affiliation: _____
8. Residence
Address: _____

City County State Zip
Phone: (_____) _____ Fax: (_____) _____
E-mail Address: _____
9. Business Title: _____
Company: _____
Address: _____

City County State Zip
Phone: (_____) _____ Fax: (_____) _____
E-mail Address: _____

10. Work Experience (Current to last 12 years)

<u>Employer</u>	<u>Title/Type of Business</u>	<u>City/State</u>	<u>From Date</u>	<u>To Date</u>

11. Education History:

<u>College/Graduate School (Location)</u>	<u>From Date</u>	<u>To Date</u>	<u>Degree</u>	<u>Major</u>

12. Professional Licenses and Certificates:

<u>Certificates</u>	<u>Date Issued</u>	<u>Certificates</u>	<u>Date Issued</u>
(1) _____	_____	(2) _____	_____
(3) _____	_____	(4) _____	_____

13. List all current organizations and societies of which you are a member:

<u>Organizations/Societies</u>	<u>From</u>

OVERSIGHT BOARD

APPLICATION FORM

14. ☐ Yes ☐ No Have you resided at your current residence less than 5 years? If yes, please list all residences for the past 5 years.
15. ☐ Yes ☐ No Are you a citizen of a country other than the United States? If so, please list country.
16. ☐ Yes ☐ No Have you ever been affiliated with any institutions within the past five years which might present a potential conflict of interest or appearance of conflict of interest with your requested appointment? If yes, please explain.
17. ☐ Yes ☐ No Do you own real property, personal property, financial holdings or receive income from any source which might present a potential conflict of interest or appearance of conflict of interest with your requested appointment? If yes, please explain.
18. ☐ Yes ☐ No Do you have a spouse who is currently an employee or appointee of the State of California? If so, what is the entity, location and title?
19. ☐ Yes ☐ No Have you ever been convicted of a violation of any federal, state, county or municipal law, regulation or ordinance? If yes, please explain.
20. ☐ Yes ☐ No Are you currently under federal, state, or local investigation for possible violation of a criminal law or ordinance? If yes, please explain.
21. ☐ Yes ☐ No Has a tax lien or other collection procedure ever been instituted against you by federal, state, or local authorities? If yes, please explain.
22. ☐ Yes ☐ No Have you ever been disciplined or cited for a breach of ethics or unprofessional conduct by or been the subject of a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If yes, please explain.
23. ☐ Yes ☐ No Have you ever been involved in civil litigation, or administrative or legislative proceedings of any kind, either as plaintiff, defendant, respondent, witness, or party in interest? If yes, please explain.
24. ☐ Yes ☐ No Have you ever run for political office, served on a political committee, or been identified publicly with a particular political organization, candidate, or issue? if yes, please explain.
25. ☐ Yes ☐ No Have you been publicly identified, in person or by organizational members, with a particularly controversial national, state or local issue? If yes, please explain.

OVERSIGHT BOARD

APPLICATION FORM

26. ☐ Yes ☐ No Have you ever submitted oral or written views to any government authority or the news media, on any particular controversial issue other than in an official government capacity? If yes, please explain.
27. ☐ Yes ☐ No Have you ever written any particularly controversial books or articles? If yes, please explain.
28. ☐ Yes ☐ No Have you ever had any association with any person or group or business venture which could be used, even unfairly, to impugn or attack your character and qualifications for the requested appointment? If yes, please explain.
29. ☐ Yes ☐ No Do you know anyone who might take any steps, overtly or covertly, to attack your appointment? If yes, please explain.
30. ☐ Yes ☐ No Is there anything in your background which if made known to the general public through your appointment would cause an embarrassment to you and/or the administration? If yes, please feel free to explain.
31. ☐ Yes ☐ No Are you able, with or without reasonable accommodation, to perform the essential functions of the position?
32. Please describe the amount of time you foresee being able to devote to the Oversight Board. It is expected that the Board will meet with a fairly high degree of frequency for up to one year. After the initial year, it is expected that the need for frequent Board meetings will diminish.
33. Please explain why you wish to serve on the Oversight Board:

AUTHORIZATION AND RELEASE

I understand that in connection with this application for appointment an extensive investigation of my personal and business background will be conducted. I hereby authorize the release of any and all information pertaining to me or businesses in which I participated, including information of a confidential or privileged nature in the possession of government or private agencies or individuals. I hereby release all such agencies or individuals who furnish such information from liability for damages which may result from furnishing the information requested. You are also being notified that a consumer credit report may be requested and used in connection with this application for appointment. The source of the report shall be a major national credit reporting agency, such as TRW, TRANSUNION, or EQUIFAX. In the event such a request is made, a copy of the report should be provided to you by the credit agency.

Date: _____

By: _____
Applicant